

# Improving Deduplication Techniques by Accelerating Remainder Calculations

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**Abstract.** The time efficiency of many storage systems rely critically on the ability to perform a large number of evaluations of certain hashing functions fast enough. The remainder function  $B \bmod P$ , generally applied with a large prime number  $P$ , is often used as a building block of such hashing functions, which leads to the need of accelerating remainder evaluations, possibly using parallel processors. We suggest several improvements exploiting the mathematical properties of the remainder function, leading to iterative or hierarchical evaluations. Experimental results show a 2 to 5-fold increase in the processing speed.

## 1 Introduction

The probabilistic pattern matching algorithm due to Karp and Rabin [3] is based on the repeated evaluation of a so-called *rolling hash*: given is a text of length  $n$  and a pattern of length  $m$ , a hash function has to be applied on all the substrings of the text of length  $m$ . A naive implementation would thus yield a  $\theta(nm)$  time complexity, which might be prohibitive. The rolling property of the hash exploits the fact that adjacent substrings are overlapping in all but their first and last characters, so that the hash of one substring can be calculated in constant time from the hash value of the preceding one, reducing the complexity to  $O(n)$ .

There are, however, important applications of the Karp-Rabin scheme, beyond pattern matching. Large storage and backup systems can be compressed by means of *deduplication*: locating recurrent sub-parts of the text, and replacing them by pointers to previous occurrences. One family of deduplication algorithms is known in the storage industry as CAS (Content Addressed Storage) and based on assigning a hash value to each data block [5,6]. Such systems detect only identical blocks and are not suitable when large block sizes are used. Replacing identity by similarity enables the use of much larger data chunks, as in the IBM ProtecTIER<sup>(R)</sup> product [1]. This system is based on the evaluation of a hash function for a large number of strings, and most of these evaluations can be done in constant time because of overlaps, as mentioned above.

In a typical setting, a very large repository, say, of the order of 1 PB =  $2^{50}$  bytes, will be partitioned into chunks of fixed or variable size, to each of which one or more *signatures* are assigned. The details of the deduplication algorithm are not relevant to our current discussion and the interested reader is referred to [1]. The signature of a chunk is usually some function of the set of hash values produced for each consecutive substring of  $k$  bytes within the chunk. The length  $k$  of these substrings, which we call

seeds, may be 512 or more, so that the evaluation might put a serious burden on the processing time.

Given a chunk  $C = x_1x_2 \cdots x_n$ , where the  $x_i$  denote characters of an alphabet  $\Sigma$ , we wish to apply the hash function  $h$  on the set of substrings  $B_i$  of  $C$  of length  $k$ ,  $B_i = x_ix_{i+1} \cdots x_{i+k-1}$  being the substring starting at the  $i$ -th character of  $C$ . The constant time, however, for the evaluation of  $B_i$  is based on the fact that one may use the value obtained earlier for  $B_{i-1}$ , and this is obviously not true for the first value to be used. That is,  $B_1$  needs an evaluation time proportional to  $k$ . Moreover, in deduplication systems based on similarity rather than on identity, once a chunk of the *reference* has been identified as being similar to a chunk of the *version*, a more fine-grained comparison of the two is needed.

Figure 1 is a schematic representation of the following typical scenario: given are two chunks which are already known to be similar, we need to identify as many of their matching parts as possible. To this end, the reference is partitioned into a sequence of non-overlapping seeds, and a hash value of each of these seeds is evaluated and stored in a table  $H_R$ . As to to version, the hash value of every seed at every possible byte offset is calculated and potential matches are located in  $H_R$ . If a match is found, say,  $H_V[i] = H_R[j]$ , it is almost certain that the string  $v_iv_{i+1} \cdots v_{i+k-1}$  is identical to  $r_{(j-1)k+1}r_{(j-1)k+2} \cdots r_{jk}$ , so the strings can be accessed and we shall try to extend the match to the left and right of these seeds.

Since the rolling hash property does not apply to the seed-by-seed evaluations of the reference, each substring of size  $k$  requires a  $O(k)$  processing time. The techniques in this paper are aimed at speeding up the initialization and non-overlapping hashing operations using local parallelism, by means of the availability of several processors.

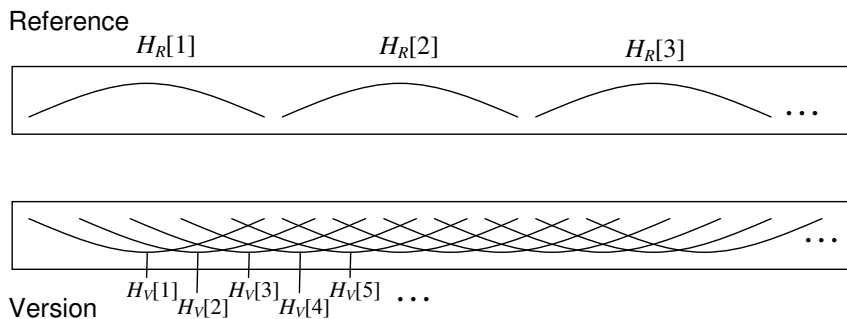


Figure 1. Searching for matching parts in similar chunks

The hash function we consider in this work is the remainder function modulo a prime number  $P$ ,  $h(B) = B \bmod P$ , which is well known for yielding close to uniform distributions on many real-life input distributions. We interchangeably use  $B$  to denote a character string and the integer value represented by the binary string obtained by concatenating the ASCII codewords of the characters forming  $B$ . For example, the string ABC would be in ASCII 010000010100001001000011, so we would identify the string with the value 4,276,803. Two main improvements to the standard computation of the modulus are suggested: the first constructs a hierarchical structure enabling the use of several processors in parallel; the second exploits the fact that the computation can be performed iteratively to speed it up by calculating what we shall call *pseudo-hashes*.

## 2 Hierarchical evaluation of the remainder function

Consider the input string  $B$  partitioned into  $m$  subblocks of  $d$  bits each, denoted  $A[0], \dots, A[m - 1]$ , where  $m = 2^r$  is a power of 2, and  $d$  is a small integer, so that  $d$  bits can be processed as an indivisible unit, typically  $d = 32$  or  $64$ . Given also is a large constant number  $P$  of length up to  $d$  bits, that will serve as modulus. Typically, but not necessarily,  $P$  will be a prime number, and for our application it is convenient to choose  $P$  close to a power of 2. For example, one could use  $m = 64$ ,  $d = 64$  and  $P = 2^{55} - 55$ . We would like to split the evaluation of  $B \bmod P$  so as to make use of the possibility to evaluate functions of the  $A[i]$  in parallel on  $m$  independent processors  $p_0, p_1, \dots, p_{m-1}$ , which should yield a speedup. We have

$$B \bmod P = \left( \sum_{i=0}^{m-1} A[i] \times 2^{d(m-1-i)} \right) \bmod P$$

Considering it as a polynomial (set  $x = 2^d$ , then  $B = \sum_{j=0}^{m-1} A[m - 1 - j]x^j$ ), we can use Horner's rule to evaluate it iteratively. We first need the constant  $C$  defined by

$$C = 2^d \bmod P. \tag{1}$$

Note then that if we have a string  $D$  of  $2d$  bits and we want to evaluate  $\overline{D} = D \bmod P$ , then we can write  $D = D_1 \times 2^d + D_2$ , where  $D_1$  and  $D_2$  are the leftmost, respectively rightmost  $d$  bits of  $D$ . We get that

$$\overline{D} = \overline{\overline{D_1} \times \overline{2^d} + \overline{D_2}} = \overline{\overline{D_1} \times C + D_2}. \tag{2}$$

Generalizing to  $m$  blocks of  $d$  bits each, we get the iterative procedure of Figure 2.

Iterative evaluation of  $B \bmod P$

$$\begin{aligned} R &\leftarrow 0 \\ \text{for } i &\leftarrow 0 \text{ to } m - 1 \text{ do} \\ &R \leftarrow (R \times C + A[i]) \bmod P \end{aligned} \tag{3}$$

**Figure 2.** Iterative evaluation of  $B \bmod P$

A further improvement can then be obtained by passing to a hierarchical tree structure and exploiting the parallelism repeatedly in  $\log m$  layers, using the  $m$  available processors. In **Step 0**, the  $m$  processors are used to evaluate  $A[i] \bmod P$ , for  $0 \leq i < m$ , in parallel. This results in  $m$  residues, which can be stored in the original place of the  $m$  blocks  $A[i]$  themselves, since  $P$  is assumed to fit into  $d$  bits. For our example values of  $m$ ,  $d$  and  $P$ , only 55 of the 64 bits would be used.

In **Step 1**, only  $\frac{m}{2}$  processors are used (it will be convenient to use those with even indices), and each of them works, in parallel, on two adjacent blocks:  $p_0$  working on  $A[0]$  and  $A[1]$ ,  $p_2$  working on  $A[2]$  and  $A[3]$ , and generally  $p_{2k}$  working on  $A[2k]$  and  $A[2k + 1]$ , for  $k = 0, 1, \dots, \frac{m}{2} - 1$ . The work to be performed by each of these processors is what has been described earlier for the block  $D$ . Again, the results will be stored in-place, that is, right-justified in  $2d$ -bit blocks, of which only the rightmost  $d$  bits (or less, depending on  $P$ ), will be affected.

Hierarchical evaluation of  $B \bmod P$

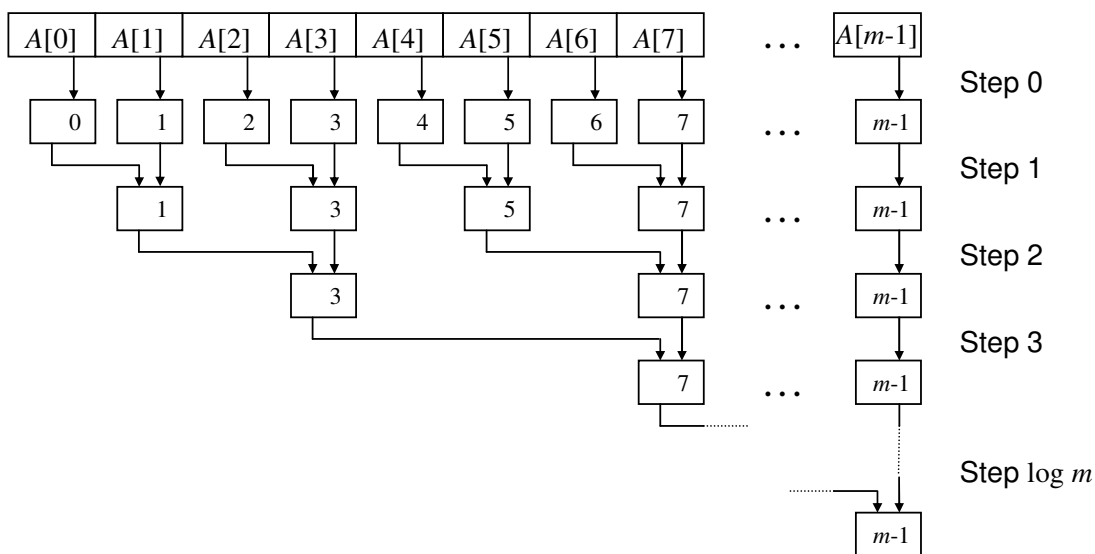
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for  $k \leftarrow 0$  to  $m - 1$  do
   $A[k] \leftarrow A[k] \bmod P$ 
for  $i \leftarrow 1$  to  $r$  do
  for  $k \leftarrow 0$  to  $\frac{m}{2^i} - 1$  do
    use processor  $p_{2^i k}$  to evaluate, in parallel,
       $A[2^i k + 2^i - 1] \leftarrow (A[2^i k + 2^{i-1} - 1] \times C[i] + A[2^i k + 2^i - 1]) \bmod P$ 
    
```

**Figure 3.** Hierarchical parallel evaluation of  $B \bmod P$

In Step 2, the  $\frac{m}{4}$  processors whose indices are multiples of 4 are used, and each of them is applied, in parallel, on two adjacent blocks of the previous stage. That is, we should have applied now  $p_0$  on  $A[0]A[1]$  and  $A[2]A[3]$ , etc., but in fact we know that  $A[0]$  and  $A[2]$  contain only zeros, so we can simplify and apply  $p_0$  on  $A[1]$  and  $A[3]$ , and in parallel  $p_4$  on  $A[5]$  and  $A[7]$ , and generally,  $p_{4k}$  working on  $A[4k + 1]$  and  $A[4k + 3]$ , for  $k = 0, 1, \dots, \frac{m}{4} - 1$ . Again, the work to be performed by each of these processors is what has been described earlier for the block  $D$  since we are combining two blocks, with the difference that the new constant  $C$  should now be  $2^{2d} \bmod P = \overline{C^2}$ . The results will be stored right-justified in  $4d$ -bit blocks, of which, as before, only the rightmost  $d$  bits or less will be affected.

Continuing with further steps will yield a single operation after  $\log m$  iterations. Note that the overall work is not reduced by this hierarchical approach, since the total number of applications of the procedure on block pairs is  $\frac{m}{2} + \frac{m}{2} + \dots = m - 1$ , just as for the sequential evaluation. However, if we account only once for operations that are executed in parallel, the number of evaluations is reduced to  $\log m$ , which should result is a significant speedup.



**Figure 4.** Schematic representation of the hierarchical evaluation

Summarizing, we first evaluate an array of constants

$$C[i] = \overline{C^{2^{i-1}}} = \overline{2^{d \times 2^{i-1}}}$$

to be used in step  $i$  for  $i = 1, 2, \dots, m - 1$ . This is easily done noticing that  $C[1] = C$  and  $C[i + 1] = \overline{C[i]^2}$  for  $i \geq 1$ . The parallel procedure is then given in Figure 3, and a schematic view of the evaluation layers can be found in Figure 4.

### 3 Avoiding overflows

The algorithm as described above dealt with integers of  $d$  bits length. We shall, for the ease of description, use the values  $d = 64$  and  $P = 2^{55} - 55$  in the sequel, which correspond to real-life applications, but all the ideas can easily be generalized to any other appropriate values. When two 64 bit integers are multiplied as  $R \times C$  in equation (3), even though the result is sought modulo  $P$ , which is a 55-bit integer, one temporarily needs 128-bit arithmetic, which yields a serious slow down of the performance.

One might think that to circumvent this, it suffices to work with smaller blocks, say, of  $d = 32$  bits only. This will double the number of iterations, but could still result in a gain, if during multiplications the 64 bit limit is never exceeded. For the parallel implementation, the logarithmic number of parallel steps would only increase by 1. However, reducing  $d$  does not yet solve the problem, because  $R$  is a 55-bit integer, so when multiplied by the updated constant  $C = 2^{32} \bmod P = 2^{32}$ , we can get up to 87 bits. In order to get all the integers in this evaluation to be of length at most 64 bits (the maximum is reached when multiplying  $R \times C$ ), so that no special 128-bit arithmetic would be needed,  $R$  has to be split and the modulus has to be applied not only at the end of each iteration.

Note that while we now assume that  $d = 32$ , the values of  $R$  are still stored in 64 bit integers. The way of splitting the 8 bytes representing  $R$  will be into the 23 rightmost bits and the complementing 41 leftmost bits. In fact, since the involved numbers are residues of  $\bmod P$ , where  $P$  is a 55 bit prime, the number of least significant non-zero bits in the left part is only  $55 - 23 = 32$ . The representation of  $R$  is therefore

$$R = R_L \times 2^{23} + R_R,$$

where  $R_L$  are the 41 (in fact, only 32) leftmost and  $R_R$  are the 23 rightmost bits of  $R$ , so

$$R \times C = R \times 2^{32} = R_L \times 2^{55} + R_R \times 2^{32},$$

and since  $2^{55} \bmod P = 2^{55} \bmod (2^{55} - 55) = 55$ , we get that

$$\overline{R \times C + A[i]} = \overline{R_L \times 55 + R_R \times 2^{32} + A[i]}.$$

The revised evaluation is given in Figure 5. Note that the  $\bmod P$  operation within the loop has been removed, and replaced by two  $\bmod$  operations following the loop. We thus call the intermediate values *pseudo-remainders*. The correctness of the procedure is based on the following

**Theorem** *The value of  $R$  is smaller than  $2^{56}$ , that is, fits into 56 bits, at the end of each iteration.*

```

Revised iterative evaluation
R ← 0
for i ← 0 to m - 1 do
  RL ← R / 223
  RR ← R mod 223
  R ← RL × 55 + RR × 232 + A[i]
end-for
if R > P then
  R ← R - P
if R > P then
  R ← R - P

```

**Figure 5.** Iterative evaluation without mod

*Proof* By induction on  $i$ , the index of iteration. For  $i = 0$ , at the beginning of the iteration,  $R$  and thus also  $R_L$  and  $R_R$  are 0. The value of  $R$  at the end of iteration 0 is therefore  $A[0]$ , which has only 32 bits, less than 56.

Suppose the assumption is true at the end of iteration  $i$ , and consider the beginning of iteration  $i+1$ .  $R_R$  has at most 23 bits by definition, and  $R_L$  has at most  $56 - 23 = 33$  bits by the inductive assumption. Hence  $R_R \times 2^{32}$  is of length at most 55 bits, and so is  $R_R \times 2^{32} + A[i]$ , since the 32 rightmost bits of  $R_R \times 2^{32}$  are zero. The binary representation of 55 uses 6 bits, so  $R_L \times 55$  is of length at most  $33 + 6 = 39$  bits. At the end of the iteration, the length of  $R$ , obtained by adding a 39 bit number to a 55 bit number, must therefore be at most 56, and this limit is achieved only if a carry propagates beyond the leftmost bit of  $R_R \times 2^{32}$ .  $\square$

It follows from the Theorem that there is no overflow if we remove the repeated application of the modulo operator, and only perform a single (and rarely, two) modulus at the end of the iteration. This is the purpose of the last four lines. Since at the end,  $R < 2^{56} = 2P + 110$ , the modulus can be replaced by subtraction. If  $P \leq R < 2P$ , then  $R \bmod P = R - P$ . For the rare cases in which  $2P \leq R < 2P + 110$  (only 110 out of the possible almost  $2^{56}$  values of  $R$ ), a second subtraction of  $P$  will be necessary.

To understand how all the mod operations within the iteration could be saved, recall that our objective was to calculate  $B \bmod P$ . It would thus suffice, mathematically speaking, to apply a single mod operation after having calculated  $B$ , but in practice, such an evaluation is not feasible, because we are dealing here with a  $m \times d$  bit long number, which cannot be handled. The classical solution, generally used in modular exponentiation algorithms [2], is to exploit the properties of the modulo function, to repeatedly apply the modulus to subparts of the formula, so as to never let the operands on which the modulus has to be applied grow above the limit permitted by the hardware at hand. For example, representing  $B$  as a polynomial  $B = \sum_{j=1}^m A[m-j]x^{j-1}$ , where we have set  $x = 2^{32}$ , using Horner's rule, we get

$$\bar{B} = \left( \cdots \left( \left( \overline{A[0]x + A[1]} \right) x + A[2] \right) x + \cdots \right) x + A[m-1],$$

where after each multiplication and addition,  $\bmod P$  is applied, so if we start with  $d$  bit numbers, at no stage of the evaluation do we use numbers larger than  $2d$  bits.

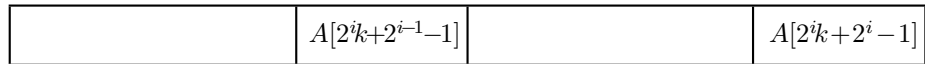
This was the approach in Section 2, and had as drawback that such a large number of modulo applications is expensive. The current suggestion reverts the process and removes again the internal modulo applications, but not entirely, since this would get us back to handling  $m \times d$  bit numbers. Rather, it removes only a part of the internal operations, but leaves the cheap ones, basing ourselves on the fact that we work modulo a prime which is very close to a power of 2, namely  $P = 2^{55} - 55$  in our example, but one can find such primes for any given exponent, see [4]. We thus get that  $2^{55} \bmod P = 55$  in our case, an extremely small number relative to  $P$ , which can be used to decompose blocks into adjacent subblocks at a low price.

The algorithm presents a tradeoff between applying the remainder function only once (cheap but unfeasible because of the size of the numbers involved), and applying it repeatedly in every iteration (resulting in small numbers, but computationally expensive). We apply it only once (rarely twice) at the end, but managed by an appropriate decomposition of the numbers to remove the moduli and still force all the involved numbers to be small.

Note that this technique can not be applied generally in situations where the modulus is chosen as a large random prime number, as often done in cryptographic applications, since it critically depends on the fact that  $2^{55} \bmod P$  is a small number. In our case, it uses only 6 bits, and the Theorem would still hold for values needing up to 22 bits, in which case  $R_L \times (2^{55} \bmod P)$  is of length at most  $33 + 22 = 55$  bits. The sum of two 55 bit numbers would then still fit into the 56 bits claimed in the induction. But for 23 bits, we could already overflow into 57 bits. If  $P$  is a random prime number of 55 bits, the expected length of  $2^{55} \bmod P$  is 54 bits and will only extremely rarely fit into 22 bits. The application field of the technique is thus when repeated evaluations are needed, all modulo a *constant*  $P$ , which can therefore be chosen as some convenient prime just a bit smaller than a given power of two. This is the case in rolling hashes of the Rabin-Karp type we consider here.

### 4 Adapting the hierarchical method

We now turn to adapting the hierarchical method, which can be used in parallel with  $m$  processors, to 64-bit arithmetic to improve processing time. The input is a sequence of  $n = 2^m$  blocks of  $d = 64$  bits each. The hierarchical evaluation is done in  $m = \log n$  layers, with layer  $i$  processing what we shall call *superblocks*, consisting of  $2^i$  original  $d$ -bit blocks,  $i = 0, 1, \dots, m - 1$ . The scenario at layer  $i$ , for the superblock indexed  $k$ ,  $k = 0, 1, \dots, \frac{n}{2^i} - 1$ , is:



The superblock consists of two halves, and only the rightmost block (in fact, only its 55 rightmost bits) in each half is non-zero. The evaluation combines the two non-zero values and puts the output in the rightmost block, using the command

$$A[2^i k + 2^i - 1] \leftarrow \left( A[2^i k + 2^{i-1} - 1] \times C[i] + A[2^i k + 2^i - 1] \right) \bmod P.$$

The values  $C[i] = \overline{C^{2^{i-1}}} = \overline{2^{64 \times 2^{i-1}}}$  can be calculated as  $C[1] = 2^{64} \bmod P$  and  $C[i + 1] = \overline{C[i]^2}$  for  $i > 1$ . For  $P = 2^{55} - 55$ , these values are given in Table 1.

$i$	$C[i]$	bits
1	28,160	15
2	792,985,600	30
3	16,336,612,484,973,479	55
4	8,143,640,278,601,598	55
5	5,745,742,201,926,802	55
6	16,594,324,020,821,548	55

**Table 1.** Constants for hierarchical evaluation

We thus need more than 64 bits to evaluate  $A[2^i k + 2^{i-1} - 1] \times C[i]$  for  $i > 1$ . To fit into the 64-bit arithmetic constraint, we propose two strategies. The first is a generic one, that can be applied to any values of the parameters, and processes each layer in the same way. The second achieves some additional savings by adapting the specific values in our running example differently in each of the layers.

#### 4.1 General uniform adaptation of the parameter values

The first iteration (layer 0), which applies the modulus on the original 64 bit blocks to produce 55 bit numbers, can be kept without change. For the higher layers, the input of which are two non-adjacent 55-bit blocks  $A[2^i k + 2^{i-1} - 1]$  and  $A[2^i k + 2^i - 1]$ , the latter can be used as is, but the former has to be multiplied, so we split the block into 11 subblocks of length 5 bits.



Denote the 11 blocks forming  $A[2^i k + 2^{i-1} - 1]$ , from right to left, by  $E[k, i, j]$ ,  $j = 0, 1, \dots, 10$ , which gives

$$A[2^i k + 2^{i-1} - 1] = \sum_{j=0}^{10} E[k, i, j] \times 2^{5j}.$$

In addition, prepare a two dimensional table  $CC[i, j]$  for the above values of  $i$  and  $j$ , defined by

$$CC[i, j] = \overline{C[i] \times 2^{5j}}.$$

Then

$$A[2^i k + 2^{i-1} - 1] \times C[i] + A[2^i k + 2^i - 1] = \sum_{j=0}^{10} E[k, i, j] \times CC[i, j] + A[2^i k + 2^i - 1].$$

Each term in the summation uses at most  $5 + 55 = 60$  bits, so the sum of the 12 terms uses at most  $60 + \lceil \log 12 \rceil = 64$  bits, as requested. In fact, since the elements  $E[k, i, j]$  all belong to a small set  $\{0, 1, \dots, 31\}$ , one can precompute a three dimensional table  $CCC[i, j, p]$  defined, for  $p = 0, \dots, 31$  by

$$CCC[i, j, p] = \overline{CC[i, j] \times p} = \overline{C[i] \times 2^{5j} \times p}.$$



This reduces then the right hand side of the summation above to

$$\sum_{j=0}^{10} CCC[i, j, E[k, i, j]] + A[2^i k + 2^i - 1].$$

To take this idea of tabulating even a step further, note that the elements in the table are computed only once, so this could be done offline, and there, 128-bit operations could be permitted. Instead of partitioning  $A[2^i k + 2^{i-1} - 1]$  into 11 blocks of 5 bits each, any other partition into  $\lceil 55/q \rceil$  blocks of  $q$  bits each could be considered, if we were willing to extend the table  $CCC[i, j, p]$  to the  $2^q$  possible values of  $q$ -bit strings. Taking, for example,  $q = 11$ , we get 5 blocks of 11 bits and would have to consider 2048 possible values of  $p$  in  $CCC[i, j, p]$ . The number of bits needed to represent  $CC[i, j] \times p$  would then be  $55 + 11 = 66$ , but this is evaluated only once, and what will finally be stored (and used afterwards) is  $\overline{CC[i, j] \times p}$ , which again needs only 55 bits; the sum of six 55-bit numbers fits into 58 bits, so there is no overflow.

The number of elements needed in the table  $CCC$  is  $m \times \lceil \frac{55}{q} \rceil \times 2^q$ . Table 2 brings the size of the table for a few sample values of  $q$ , for  $m = 6$  as in our example. The number of 64-bit operations for the evaluation of each new value is equal to the number of blocks  $b$ : there are  $b + 1$  terms to be added, but only  $x - 1$  additions are needed to add  $x$  terms.

$q$	# blocks	# lines	# entries	Actual size
3	19	8	912	6.2 K
4	14	16	1344	9.1 K
5	11	32	2112	14.4 K
6	10	64	3840	26.3 K
7	8	128	6144	42 K
8	7	256	10752	74 K
9	7	512	21504	147 K
10	6	1024	36864	252 K
11	5	2048	61440	420 K
12	5	4096	122880	840 K
16	4	65536	1572864	10.5 M
20	3	1048576	18874368	126 M

**Table 2.** Size of auxiliary table for various values of  $q$

We can thus choose the value of  $q$  according to the required tradeoff: the lower  $q$ , the less storage is needed for the  $CCC$  tables, but the more operations have to be performed. Taking for example values of  $q$  from 5 to 7, the tables would fit into 50K, but 9 to 12 operations have to be performed.

#### 4.2 Specific adaptation of the parameter values for $m = 6$ and $d = 64$

The tradeoffs in Table 2 lead to the following suggestions for the lower layers. Consider layer 1, consisting of superblocks of 128 bits. Figure 6 represents the layout after iteration 0, in which two 55-bit strings have been evaluated (in grey in the figure). We partition the superblock as indicated, which yields as value:

$$D = D_{11} \times 2^{110} + D_{12} \times 2^{55} + D_{13}.$$

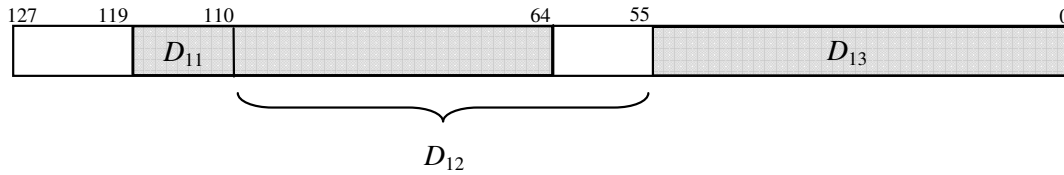


Figure 6. Layer 1: two blocks of 64 bits each

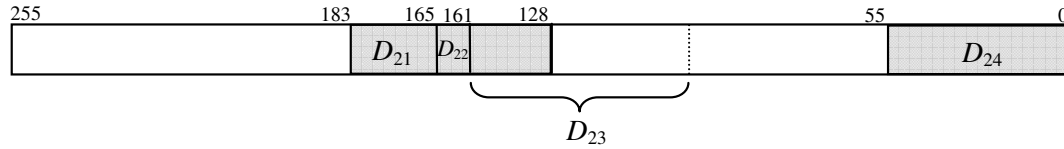


Figure 7. Layer 2: two blocks of 128 bits each

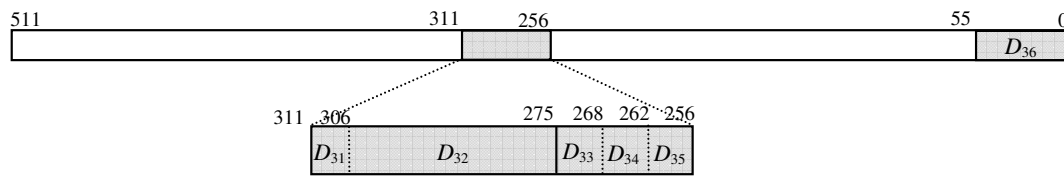


Figure 8. Layer 3: two blocks of 256 bits each

$D_{13}$  uses only 55 bits;  $D_{12}$  also needs 55 bits, but is multiplied by  $2^{55} \bmod P = 55$ , which needs 6 bits, so together 61 bits;  $D_{11}$  needs 9 bits, and multiplied by  $2^{110} \bmod P = 55^2 = 3025$ , which needs 12 bits, so together 21 bits; their sum has therefore at most 62 bits, so only **two** 64-bit additions are needed.

For layer 2, we need a different layout, given in Figure 7. The superblock consists now of two subparts of 128 bits each. This partition yields the following equality:

$$D = D_{21} \times 2^{165} + D_{22} \times 2^{161} + D_{23} \times 2^{110} + D_{24}.$$

$D_{24}$  uses only 55 bits;  $D_{23}$  is of length 51 bits, but is multiplied by  $2^{110} \bmod P = 3025$ , which needs 12 bits, so together 63 bits;  $D_{22}$  is of length 4 bits, and is multiplied by  $2^{161} \bmod P$ , which needs 55 bits, so together 59 bits; finally,  $D_{21}$  needs 18 bits, and is multiplied by  $2^{165} \bmod P = 55^3 = 166375$ , which needs 18 bits, so together 36 bits; their sum has therefore at most 64 bits, so only **three** 64-bit additions are needed.

Layer 3 will be the last with special treatment. A superblock, now consisting of two halves of 256 bits each, will be partitioned according to the layout given in Figure 8. The desired value of  $D$  is then obtained by adding the following terms:

$$\begin{aligned} D_{31} \times 2^{306}, & \text{ in bits: } 5 + 55 = 60 \\ D_{32} \times 2^{275}, & \text{ in bits: } 31 + 29 = 60 \\ D_{33} \times 2^{268}, & \text{ in bits: } 7 + 55 = 62 \\ D_{34} \times 2^{262}, & \text{ in bits: } 6 + 55 = 61 \\ D_{35} \times 2^{256}, & \text{ in bits: } 6 + 55 = 61 \\ D_{36}, & \text{ in bits: } 55 \end{aligned}$$

Their sum has at most 64 bits, and only **five** 64-bit additions are needed.

It seems fair to consider the *amortized* global cost for evaluating the signature, since only at the lowest level, all the  $n$  processors are involved, and for the higher levels, specifically, for level  $i$ , the number of working processors is only  $n/2^i$ . The amortized number of 64-bit additions is therefore

$$1 \times n + 2 \times \frac{n}{2} + 3 \times \frac{n}{4} + 5 \times \frac{n}{8} + 11 \times \left[ \frac{n}{16} + \frac{n}{32} + \dots \right] = n \times \left[ 1 + 1 + \frac{3}{4} + \frac{5}{8} + \frac{11}{8} \right] = 4.75n.$$

## 5 Experimental results

We have compared the above methods on randomly chosen input texts, several GB of our exchange database. Actually, the exact choice of the test data is not relevant, because the number of remainder operations performed is not data dependent.

	WS	M2	X5	GPU
baseline	114	139	168	595
hierarchical	229	200	377	1896
pseudo remainders	582	256	1067	2327

**Table 3.** Experimental comparison of performance

The following methods were tested: as **baseline**, we took a regular iterative evaluation, processing single bytes, that is,  $d = 8$ . In all our tests, the size of  $B$  was  $m = 2^{12} = 4096$  bits or 512 bytes. The next method was a **hierarchical** implementation, according to Figure 3, with blocks of size  $d = 64$ , and using 128-bit arithmetic where necessary. Finally, we also ran the revised iterative method of Figure 5 using **pseudo remainders**, with  $d = 32$  and 64-bit operations only.

The tests were run on several platforms: **WS**: a 3.2 GHz Intel PC Workstation, **M2**: an IBM 3850M2 server (2.93 GHz Intel Xeon X7350), **X5**: an IBM 3850X5 server (2.27 GHz Intel Xeon X7560), and **GPU**: an Nvidia GeForce GTX 465 graphics board, using copy to/from device. The results are presented in Table 3, all values giving the number of MB processed per second.

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